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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

MURAKAMI

Atty. Ref.: 330-243

Serial No. 10/058,236

Group: 1732

Filed: January 29, 2002

Examiner:

For: METHODS FOR PRODUCING SUBSTRATE BLANK,
SUBSTRATE AND INFORMATION RECORDING MEDIUM

* * * * *

March 27, 2002

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

**RESPONSE TO NOTIFICATION OF MISSING
REQUIREMENTS UNDER 35 U.S.C. 371**

Responsive to the Notification of Missing Requirements mailed March 20, 2002 (a copy of which is attached), it is respectfully submitted that the executed Declaration and Assignment were filed on March 25, 2002. A copy of the PTO mail room date-stamped postcard indicating receipt of the executed Declaration is attached hereto along with a further copy of the Declaration.

Confirmation of receipt of the executed Declaration is respectfully requested.

Respectfully submitted,

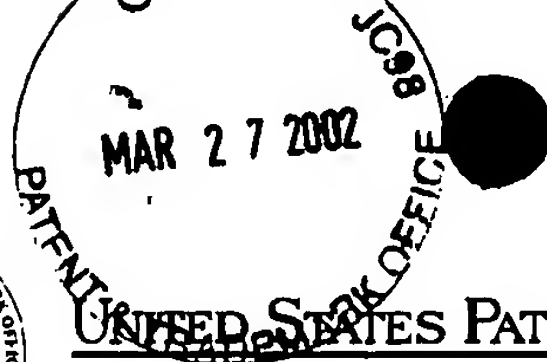
NIXON & VANDERHYE P.C.

By: _____

Arthur R. Crawford

Reg. No. 25,327

ARC:pfc
1100 North Glebe Road, 8th Floor
Arlington, VA 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/058,236	01/29/2002	Akira Murakami	330-243

CONFIRMATION NO. 2265

FORMALITIES LETTER



OC000000007674482

NIXON & VANDERHYE P.C.
8th Floor
1100 North Glebe Road
Arlington, VA 22201

Date Mailed: 03/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$84.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 954.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

MURAKAMI, Akira

Serial No. 10/058,236

Filed: January 29, 2002

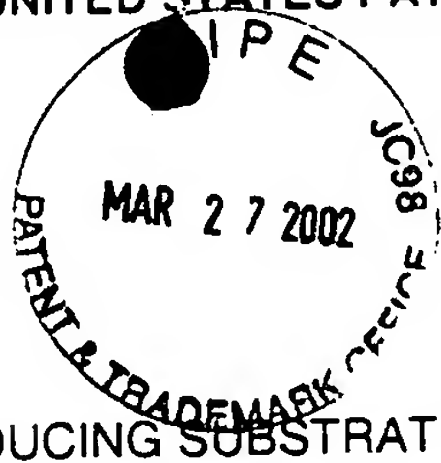
For: METHOD FOR PRODUCING SUBSTRATE BLANK, SUBSTRATE AND
INFORMATION RECORDING MEDIUM

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Application Branch

Atty. Dkt. 330-243

Date: March 25, 2002



The attached completes filing of the above-identified patent application:

☒ Signed Rule 63 Declaration alone, ☐ Copy of Declaration from prior application alone, OR

☐ Signed Declaration plus attached copy of originally filed specification/drawings.

☐ **NOTICE TO FILE MISSING PARTS OF APPLICATION FILING DATE GRANTED** form.

☒ Record the attached assignment and return to the undersigned.

☐ Attached is a Power of Attorney.

☒ Priority is hereby claimed under 35 U.S.C. § 119 based on the following foreign applications:

Application Number
2001-20379

Country
JP

Day/Month/Year Filed
29/01/2001

respectively.

☒ Certified copy(ies) of foreign application(s) is/are attached.

☐ Certified copy(ies) filed on _____ in prior application no. _____, filed _____.

☐ Applicant claims "small entity" status. ☐ "Small entity" statement attached.

☐ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee.

☐ Also attached: ☐ **Information Disclosure Statement**; ☐ **Nucleotide and/or Amino Acid
Sequence Submission**; ☐ **Other**:

Fees due are calculated below:

Basic filing fee					\$	740.00
Total Effective claims	13	- 20 =	0	x \$	\$	0.00
Independent claims	4	- 3 =	1	x \$	\$	84.00
If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)					\$	0.00
FILING FEE					\$	824.00
Petition is hereby made to extend the current due date so as to cover the filing date of this paper and attachment(s) (\$110.00/1 month; \$400.00/2 months; \$920.00/3 months; \$1440.00/4 months)					\$	0.00
Surcharge (\$130.00) if Declaration or filing fee first now submitted					\$	130.00
English translation of specification and claims (\$130.00)					\$	0.00
FIRST SUBTOTAL					\$	954.00
If "small entity," enter half (1/2) of subtotal and subtract					-\$	0.00
SECOND SUBTOTAL					\$	954.00
Assignment Recording Fee (\$40.00)					\$	40.00
TOTAL FEE DUE					\$	994.00
Check enclosed (Pre-Bill)*					\$	994.00
Check enclosed (non Pre-Bill)*					\$	
TOTAL FEE ENCLOSED					\$	994.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension. The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our **Account No. 14-1140**. A duplicate copy of this sheet is attached.

1100 North Glebe Road, 8th Floor
Arlington, Virginia 22201-4714
Telephone: (703) 816-4000
Facsimile: (703) 816-4100
C: pfc

NIXON & VANDERHYTE P.C.

By Atty: Arthur R. Crawford, Reg. No. 25,327

Signature: _____

*For N&V internal accounting purposes



Serial No.: 10/058,236
Inventor/s: MURAKAMI, Akira
Title: METHOD FOR PRODUCING SUBSTRATE BLANK,
SUBSTRATE AND INFORMATION RECORDING
MEDIUM

C#/M#: 330-243

Atty: Arthur R. Crawford

Date: March 25, 2002

Amendment
Sheets of Drawings
XX Declaration (1 Pages)
XX Assignment (2 Pages) Including Cover
XX Priority Document(s)
Information Disclosure Statement

\$994.00 Fee (Check) - Pre-Bill

\$ Fee (Check) - Non Pre-Bill

\$994.00 = Total Fee Enclosed

Other:

